

**OHIO TURNPIKE AND
INFRASTRUCTURE COMMISSION**
682 Prospect Street
Berea, Ohio 44017

**REQUEST FOR PROPOSALS FOR
INVESTMENT SAFEKEEPING SERVICE PROVIDER**

ISSUE DATE:
OCTOBER 11, 2013

INQUIRY END DATE:
5:00 P.M. (E.D.T.), OCTOBER 31, 2013

OPENING DATE:
5:00 P.M. (E.S.T.), NOVEMBER 8, 2013

ATTENTION OF RESPONDENTS IS DIRECTED TO:

ANSWERS TO QUESTIONS RECEIVED THROUGH THE END OF THE INQUIRY PERIOD

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Q#6 I noticed the numbering of the items required skips the number 5. Is there a section missing?

A#6 **No. The Solicitation Letter lists five (5) items that the Responding Firms are requested to submit, but the list skips from item numbered four (4) to item six (6).**

Q#7 Any way to get a copy of the investments (types and amounts) in the safekeeping account as of today (or last month)? And/or a list of assets in the account?

A#7 **The Commission periodically buys governmental securities for its investment portfolio to invest funds that will not be needed in the near term. Included in the packet of information that was included with the RFP is the September 2013 Investment Report. Page 4 of the Report lists the fourteen (14) investments that are currently in the safekeeping account (overnight repurchase agreements and Treasurer's Pool investment are not in the safekeeping account). Page 5 of the Report lists investments in the Commission's separate debt service funds that are held by the Commission's bond trustee and are not in the Safekeeping Account.**

Q#8 Who directs the trading of securities in the portfolio? Is there an external money manager? If so, who is it?

A#8 **The Commission's Assistant Comptroller directs the trading of securities for the Commission.**

Q#9 Does the custodian reflect the Treasurer's Pool investment?

A#9 **No. The Ohio Treasurer's pool and money market investments are not kept in the Safekeeping Account.**

Q#10 Please describe the Treasurer's Pool investment?

A#10 **The Treasurer Pool investments are accounts in the STAR Ohio fund.**

Q#11 How many custody/safekeeping accounts are required – 1 aggregate account or separate accounts for each internal Fund?

A#11 **One aggregate account is required.**

Q#12 What money market is currently used?

A#12 The money market accounts are with Huntington Bank, the trustee for the Commission's bondholders. The Master Trust Agreement requires certain funds to be held by the Trustee, and are not included in the Safekeeping Account.